

Pupillometry shows the effort of auditory attention switching^{a)}

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Running title: Pupillometry and attention switching

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ABSTRACT

1
2 Successful speech communication often requires selective attention to a target stream
3 amidst competing sounds, as well as the ability to switch attention among multiple
4 interlocutors. However, auditory attention switching negatively affects both target
5 detection accuracy and reaction time, suggesting that attention switches carry a cognitive
6 cost. Pupillometry is one method of assessing mental effort or cognitive load. Two
7 experiments were conducted to determine whether the effort associated with attention
8 switches is detectable in the pupillary response. In both experiments, pupil dilation,
9 target detection sensitivity, and reaction time were measured; the task required listeners
10 to either maintain or switch attention between two concurrent speech streams. Secondary
11 manipulations explored whether switch-related effort would increase when auditory
12 streaming was harder. In Experiment 1, spatially distinct stimuli were degraded by
13 simulating reverberation (compromising across-time streaming cues), and target-masker
14 talker gender match was also varied. In Experiment 2, diotic streams separable by
15 talker voice quality and pitch were degraded by noise vocoding, and the time allotted
16 for mid-trial attention switching was varied. All trial manipulations had some effect on
17 target detection sensitivity and/or reaction time; however, only the attention-switching
18 manipulation affected the pupillary response: greater dilation was observed in trials
19 requiring switching attention between talkers.

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21 **Keywords:** auditory attention, attention switching, listening effort, pupillometry

22 I. INTRODUCTION

23 The ability to selectively attend to a target speech stream in the presence of competing
24 sounds is required to communicate in everyday listening environments. Evidence suggests
25 that listener attention influences auditory stream formation;¹ for listeners with peripheral
26 hearing deficits, changes in the encoding of stimuli often result in impaired stream selection
27 and consequent difficulty communicating in noisy environments.² In many situations (e.g.,
28 a debate around the dinner table), it is also necessary to rapidly switch attention among
29 multiple interlocutors — in other words, listeners must be able to continuously update what
30 counts as foreground in their auditory scene, in order to keep up with a lively conversation.

31 Prior results show that when cueing listeners in a target detection task to either maintain
32 attention to one stream or switch attention to another stream mid-trial, switching attention
33 both reduced accuracy and led to longer response latency *even on targets prior to the*
34 *attentional switch*.³ This suggests that the act of preparing or remembering to switch imposes
35 some degree of mental effort or cognitive load that can compromise the success of the listening
36 task. Given that listeners are aware of linguistic cues to conversational turn-taking,⁴ the
37 pre-planning of attention switches (and associated hypothesized load) may be part of ordinary
38 listening behavior in everyday conditions, not just an artifact of laboratory experimentation.

39 Pupillometry, the tracking of pupil diameter, has been used for over five decades to measure
40 cognitive load in a variety of task types.^{5,6} Pupil dilation is an involuntary, time-locked,
41 physiological response that is present from infancy in humans and other animal species. In
42 general, as the cognitive demands of a task increase, pupil dilation of up to about 5-6 mm
43 can be observed up to 1 second after onset of relevant stimuli.⁵⁻⁷ While this task-evoked
44 pupillary response is slow (~ 1 Hz), recent results show that it is possible to track attention
45 and cognitive processes with higher temporal resolution (~ 10 Hz) with deconvolution of the
46 pupillary response.^{8,9}

47 Prior work has shown that the pupillary response co-varies with differences in memory
48 demands,¹⁰ sentence complexity,¹¹ lexical frequency of isolated written words,¹² or difficulty
49 of mathematical operations.¹³ In the auditory domain, larger pupil dilations have been
50 reported in response to decreased speech intelligibility due to background noise,¹⁴ speech
51 maskers versus fluctuating noise maskers,¹⁵ and severity of spectral degradation of spoken
52 sentences.¹⁶ The pupillary response has also emerged as a measure of listening effort, which
53 has been defined as “the mental exertion required to attend to, and understand, an auditory
54 message,”¹⁷ or, more broadly, as “the deliberate allocation of mental resources to overcome
55 obstacles in goal pursuit when carrying out a task” involving listening.¹⁸ In this guise,
56 pupillometry has been used in several studies to investigate the effects of age and hearing
57 loss on listening effort.^{16,19,20}

58 Recent evidence suggests that the pupillary response is also sensitive to auditory attention.
59 Dividing attention between two auditory streams is known to negatively affect performance
60 in psychoacoustic tasks;^{21,22} greater pupil dilation and later peak pupil-size latency have also
61 been reported for tasks in which listeners must divide their attention between both speech
62 streams present in the stimulus instead of attending only one of the two,²² or when the
63 expected location or talker of a speech stream were unknown as opposed to predictable.²³

64 However, it is unknown whether the greater pupil dilation in divided attention tasks is due
65 to the demands of processing more information, or the effort of switching attention back and
66 forth between streams (or both). The present study was designed to test whether auditory
67 attention switches in a strictly selective attention task would elicit mental effort that was
68 detectable using pupillometry. Both experiments involve selective attention to one of two
69 auditory streams (spoken alphabet letters), and a pre-trial cue indicating (1) which stream
70 to attend to and (2) whether to maintain attention on that stream throughout the trial, or
71 switch attention to the other stream at a designated mid-trial gap. In this way, there is no
72 need or advantage for listeners to try to attend both streams throughout the trial, so any

73 increase in pupil dilation seen in the switch attention trials should index the effort due to
74 attention switching, rather than effort due to processing two streams' worth of information.
75 On the assumption that the divided attention results of Koelewijn and colleagues²² were
76 at least partially due to listeners switching back and forth between streams, we predicted
77 greater pupil dilation on trials that required attention switching.

78 Additionally, the two experiments include manipulations of the stimuli designed to compromise
79 auditory streaming, and thereby make the task of maintaining or switching attention more
80 difficult. We thus expected that the pupillary response would be larger in trials with more
81 degraded stimuli, trials where target and masker streams were harder to distinguish, or
82 trials where the time allocated for switching between streams was shorter. Secondly, these
83 manipulations provide a test of whether the kind of pupillary response seen in previous
84 studies that required semantic processing of meaningful sentences might also be seen in
85 a simpler, closed-set target detection task. Based on findings showing that harder pitch
86 discrimination trials elicit larger dilations than easier trials,²⁴ and based on findings from
87 Winn and colleagues that differences in dilation to sentences with different degrees of spectral
88 degradation occurred *during* sentential stimuli as well as in the post-stimulus delay and
89 response period,¹⁶ we expected that the stimulus degradations in and of themselves might
90 also yield larger dilations (in addition to any effect the degradations might have on auditory
91 stream selection).

92 II. EXPERIMENT 1

93 Experiment 1 involved target detection in one of two spatially separated speech streams.
94 In addition to the maintain- versus switch-attention manipulation, there was a stimulus
95 manipulation previously shown²⁵ to cause variation in task performance: degradation of
96 binaural cues to talker location (implemented as presence/absence of simulated reverberation).

97 Reduced task performance and greater pupil dilation were predicted for the reverberant
98 condition. This manipulation was incorporated into the pre-trial cue (i.e., on reverberant
99 trials, the cue was also reverberant). Additionally, the voice of the competing talker was
100 varied (either the same male voice as the target talker, or a female voice); this manipulation
101 was not signalled in the pre-trial cue. The same-voice condition was expected to degrade
102 the separability of the talkers²⁶ and therefore decrease task performance and increase pupil
103 dilation.

104 **A. Methods**

105 **1. *Participants***

106 Sixteen adults (ten female, aged 21 to 35 years, mean 25.1) participated in Experiment 1. All
107 participants had normal audiometric thresholds (20 dB HL or better at octave frequencies
108 from 250 Hz to 8 kHz), were compensated at an hourly rate, and gave informed consent to
109 participate as overseen by the University of Washington Institutional Review Board.

110 **2. *Stimuli***

111 Stimuli comprised spoken English alphabet letters from the ISOLET v1.3 corpus²⁷ from one
112 female and one male talker. Mean fundamental frequencies of the unprocessed recordings
113 were 103 Hz (male talker) and 193 Hz (female talker). Letter durations ranged from 351 to
114 478 ms, and were silence-padded to a uniform duration of 500 ms, RMS normalized, and
115 windowed at the edges with a 5 ms cosine-squared envelope. Two streams of four letters each
116 were generated for each trial, with a gap of 600 ms between the second and third letters
117 of each stream. The letters “A” and “B” were used only in the pre-trial cues (described
118 below); the target letter was “O” and letters “IJKMQRUXY” were non-target items. To

119 allow unambiguous attribution of button presses, the letter “O” was always separated from
120 another “O” (in either stream) by at least 1 second; thus there were between zero and two “O”
121 tokens per trial. The position of “O” tokens in the letter sequence was balanced across trials
122 and conditions, with approximately 40% of all “O” tokens occurring in the third letter slot
123 (just after the switch gap, since that slot is most likely to be affected by attention switches),
124 and approximately 20% in each of the other three timing slots.

125 Reverberation was implemented using binaural room impulse responses (BRIRs) recorded
126 by Shinn-Cunningham and colleagues.²⁸ Briefly, an “anechoic” condition was created by
127 processing the stimuli with BRIRs truncated to include only the direct impulse response and
128 exclude reverberant energy, while stimuli for the “reverberant” condition were processed with
129 the full BRIRs. In both conditions, the BRIRs recorded at $\pm 45^\circ$ for each stream were used,
130 simulating a separation of 90° azimuth between target and masker streams.

131 **3. Procedure**

132 All procedures were performed in a sound-treated booth; illumination was provided only
133 by the LCD monitor that presented instructions and fixation points. Auditory stimuli were
134 delivered via a TDT RP2 real-time processor (Tucker Davis Technologies, Alachula, FL)
135 to Etymotic ER-2 insert earphones at a level of 65 dB SPL. A white-noise masker with
136 π -interaural-phase was played continuously during experimental blocks at a level of 45 dB
137 SPL, yielding a stimulus-to-noise ratio of 20 dB. The additional noise was included to provide
138 masking of environmental sounds (e.g., friction between subject clothing and earphone tubes)
139 and to provide consistency with follow-up neuroimaging experiments (required due to the
140 acoustic conditions in the neuroimaging suite).

141 Pupil size was measured continuously during each block of trials at a 1000 Hz sampling
142 frequency using an EyeLink1000 infra-red eye tracker (SR Research, Kanata, ON). Participants’

143 heads were stabilized by a chin rest and forehead bar, fixing their eyes at a distance of 50 cm
144 from the EyeLink camera. Target detection accuracy and response time were also recorded
145 for comparison with pupillometry data and the results of past studies.

146 Participants were instructed to fixate on a white dot centered on a black screen and maintain
147 this gaze throughout test blocks. Each trial began with a 1 s auditory cue (spoken letters
148 “AA” or “AB”); the cue was always in a male voice, and its spatial location prompted the
149 listener to attend first to the male talker at that location. The letters spoken in the cue
150 indicated whether to maintain attention to the cue talker’s location throughout the trial
151 (“AA” cue) or to switch attention to the talker at the other spatial location at the mid-trial
152 gap (“AB” cue). The cue was followed by 0.5 s of silence, followed by the main portion of the
153 trial: two concurrent 4-letter streams with simulated spatial separation and varying talker
154 gender (either the same male voice in both streams, or one male and one female voice), with
155 a 600 ms gap between the second and third letters. The task was to respond by button
156 press to the letter “O” spoken by the target talker while ignoring “O” tokens spoken by the
157 competing talker (Figure 1).

158 Before starting the experimental task, participants heard 2 blocks of 10 trials for familiarization
159 with anechoic and reverberant speech (one with a single talker, one with two simultaneous
160 talkers). Next, listeners did 3 training blocks of 10 trials each (one block of “maintain”
161 trials, one block of “switch” trials, and one block of randomly mixed “maintain” and “switch”
162 trials). Training blocks were repeated until participants achieved $\geq 50\%$ of trials correct on
163 the homogenous blocks and $\geq 40\%$ of trials correct on the mixed block. During testing, the
164 three experimental conditions (maintain/switch, anechoic/reverberant speech, and male-male
165 versus male-female talker combinations) were counterbalanced, intermixed within each block,
166 and presented in 10 blocks of 32 trials each, for a total of 320 trials.

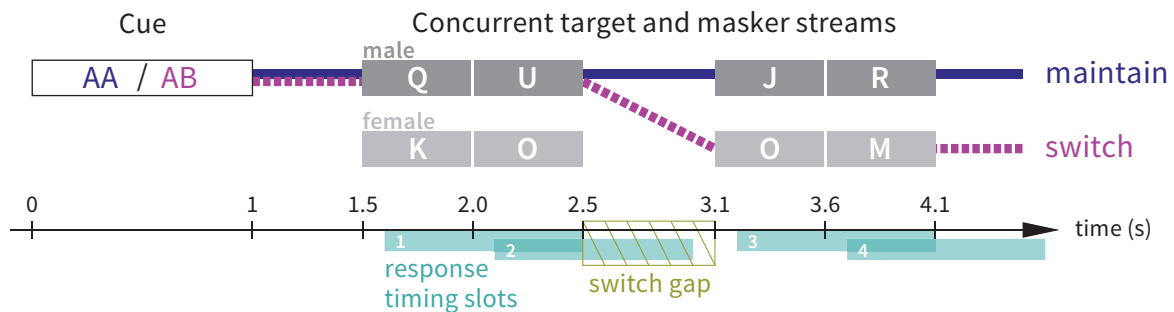


Figure 1: (Color online) Illustration of “maintain” and “switch” trial types in Experiment 1. In the depicted “switch” trial (heavy dashed line), listeners would hear cue “AB” in a male voice, attend to the male voice (“QU”) for the first half of the trial, switch to the female voice (“OM”) for the second half of the trial, and respond once (to the “O” occurring at 3.1–3.6 s). In the depicted “maintain” trial (heavy solid line), listeners would hear cue “AA” in a male voice, maintain attention to the male voice (“QUJR”) throughout the trial, and not respond at all. In the depicted trials, a button press anytime during timing slot 2 would be counted as response to the “O” at 2–2.5 s, which is a “foil” in both trial types illustrated; a button press during slot 3 would be counted as response to the “O” at 3.1–3.6 s (which is considered a target in the switch-attention trial and a foil in the maintain-attention trial), and button presses at any other time would be counted as non-foil false alarms. Note that “O” tokens never occurred in immediately adjacent timing slots (unless separated by the switch gap) so response attribution to targets or foils was unambiguous.

167 **4. Behavioral analysis**

168 Listener responses were labeled as “hits” if the button press occurred between 100 and
169 1000 ms after the onset of “O” stimuli in the target stream. Responses at any other time
170 during the trial were considered “false alarms.” False alarm responses occurring between 100
171 and 1000 ms following the onset of “O” stimuli *in the masker stream* were additionally labeled
172 as “responses to foils” to aid in assessing failures to selectively attend to the target stream.
173 As illustrated in Figure 1, the response windows for adjacent letters partially overlap in time;
174 responses that occurred during these overlap periods were attributed to an “O” stimulus if
175 possible (e.g., given the trial depicted in Figure 1, a button press at 3.8 s was assumed to be
176 in response to the “O” at 3.1–3.6 s, and not to the “M”). If no “O” tokens had occurred in
177 that period of time, the response was coded as a false alarm for the purpose of calculating
178 sensitivity, but no reaction time was computed (in other words, only responses to targets and
179 foils were considered in the reaction time analyses).

180 Listener sensitivity and reaction time were analyzed with (generalized) linear mixed-effects
181 regression models. A model for listener sensitivity was constructed to predict probability
182 of button press at each timing slot (four timing slots per trial, see Figure 1) from the
183 interaction among the fixed-effect predictors specifying trial parameters (maintain/switch,
184 anechoic/reverberant, and talker gender match/mismatch) and an indicator variable encoding
185 whether a target, foil, or neither was present in the timing slot. A random intercept was also
186 estimated for each listener. An inverse probit link function was used to transform button
187 press probabilities (bounded between 0 and 1) into unbounded continuous values suitable
188 for linear modeling. This model has the convenient advantage that coefficient estimates are
189 interpretable as differences in bias and sensitivity on a d' scale resulting from the various
190 experimental manipulations.^{29–31} Full model specifications are given in the supplementary
191 material, Equations 1 and 3; the general form of this model is given in Equation 1, where
192 Φ^{-1} is the inverse probit link function, $Pr(Y = 1)$ is the probability of button press, X is the

193 design matrix of trial parameters and indicator variables, and β is the vector of parameter
 194 coefficients to be estimated.

195 (1) $\Phi^{-1}(Pr(Y = 1 | X)) = X'\beta$

196 Reaction time was analyzed using linear mixed-effects regression (i.e., with identity link
 197 function) but was otherwise analyzed similarly to listener sensitivity. Significance of predictors
 198 in the reaction time model was computed via F-tests using the Kenward-Roger approximation
 199 for degrees of freedom; significance in the sensitivity model was determined by likelihood
 200 ratio tests between models with and without the predictor of interest (as the Kenward-Roger
 201 approximation has not been demonstrated to work with non-normally-distributed response
 202 variables, i.e., when modeling probabilities). See supplementary material, Sections III.A and
 203 III.B and Tables I-III, for full details.

204 **5. *Analysis of pupil diameter***

205 Recordings of pupil diameter for each trial were epoched from -0.5 to 6 s, with 0 s defined
 206 as the onset of the pre-trial cue. Periods where eye blinks were detected by the EyeLink
 207 software were linearly interpolated from 25 ms before blink onset to 100 ms after blink offset.
 208 Epochs were normalized by subtracting the mean pupil size between -0.5 and 0 s on each
 209 trial, and dividing by the standard deviation of pupil size across all trials (to allow pooling
 210 across subjects). Normalized pupil size data were then deconvolved with a pupil impulse
 211 response kernel.^{8,9} Briefly, the pupil response kernel represents the stereotypical time course
 212 of a pupillary response to an isolated stimulus, modeled as an Erlang gamma function with
 213 empirically-determined parameters t_{\max} (latency of response maximum) and n (Erlang shape
 214 parameter).⁷ The parameters used here were $t_{\max} = 0.512s$ and $n = 10.1$, following previous
 215 literature.^{7,9}

216 Fourier analysis of the subject-level mean pupil size data and the deconvolution kernel

217 indicated virtually no energy at frequencies above 3 Hz, so for computational efficiency the
 218 deconvolution was realized as a best-fit linear sum of kernels spaced at 100 ms intervals (similar
 219 to downsampling both signal and kernel to 10 Hz prior to deconvolution), as implemented in
 220 the `pyeparse` software.³² After deconvolution, the resulting time series can be thought of as
 221 an indicator of mental effort that is time-aligned to the stimulus (i.e., the response latency of
 222 the pupil has been effectively removed). Statistical comparison of deconvolved pupil dilation
 223 time series (i.e., “effort” in Figures 4 and 8) was performed using a non-parametric cluster-
 224 level one-sample t -test on the within-subject differences in deconvolved pupil size between
 225 experimental conditions (clustering across time only),³³ as implemented in `mne-python`.³⁴

226 B. Results

227 1. *Sensitivity*

228 Over all trials, sensitivity (d') ranged across subjects from 1.7 to 4.2 (first quartile 1.9, median
 229 2.4, third quartile 3.0). Box-and-swarm plots displaying quartile and individual differences
 230 in d' values between experimental conditions are shown in Figure 2. Note that d' is an
 231 aggregate measure of sensitivity that does not distinguish between responses to foil items
 232 versus other types of false alarms; however, the statistical model does separately estimate
 233 significant differences between experimental conditions for both target response rate and foil
 234 response rate, and also estimates a bias term for each condition that captures non-foil false
 235 alarm response rates.

236 The model indicated significant main effects for all three trial type manipulations, as seen
 237 in Figure 2a, with effect sizes around 0.2 to 0.3 on a d' scale. Model results indicate that
 238 the attentional manipulation led to more responses to both targets (Wald $z=5.23$, $p<0.001$)
 239 and foils (Wald $z=2.82$, $p=0.005$) in maintain- versus switch-attention trials, though the net
 240 effect was an increase in d' in the maintain attention condition for nearly all listeners. The

241 model also showed a significant difference in response bias in the attentional contrast (Wald
242 $z=-2.57$, $p=0.01$), with responses more likely in the switch- than the maintain-attention
243 condition. In fact, there were slightly *fewer* total button presses in the switch-attention trials,
244 but there were more non-foil false alarm responses in those trials. This suggests that the bias
245 term is in fact capturing a difference in non-foil false alarm responses (i.e., presses that are
246 not captured by terms in the model equation encoding responses to targets and foils).

247 Regarding reverberation, listeners were better at detecting targets in the anechoic trials
248 (Wald $z=3.08$, $p=0.002$), but there was no significant difference in response to foils between
249 anechoic and reverberant trials. Regarding talker gender (mis)match, the model indicated
250 both better target detection (Wald $z=2.43$, $p=0.015$) and fewer responses to foils (Wald
251 $z=-2.31$, $p=0.021$) when the target and masker talkers were different genders. The model
252 also indicated a two-way interaction for target detection between reverberation and talker
253 gender (Wald $z=-2.09$, $p=0.036$); this can be seen in Figure 2b: the difference between
254 anechoic and reverberant trials was smaller when the target and masker talkers were of
255 different genders. The three-way interaction among attention, reverberation, and talker
256 gender was not significant.

257 To address the concern that listeners might have attempted to monitor both streams, and
258 especially that they might do so differently in maintain- versus switch-attention trials, the
259 rate of listener response to foil items was examined separately for each timing slot. Foil
260 response rates ranged from 1–4% for slots 1 and 2 (before the switch gap), and from 9–15%
261 for slots 3 and 4 (after the switch gap), but showed no statistically reliable difference between
262 maintain- and switch-attention trials for any of the four slots (see supplementary material,
263 Section III.D.1, for details).

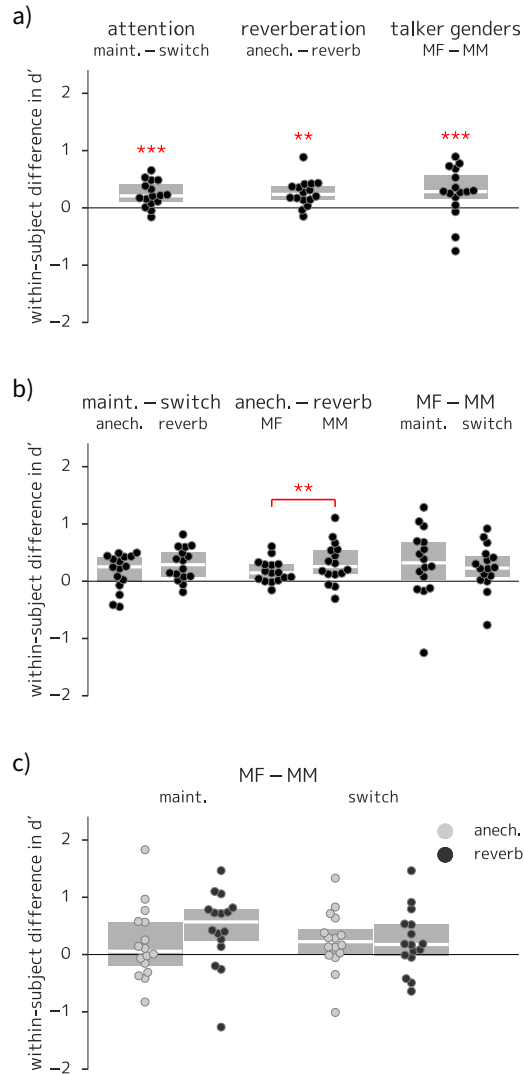


Figure 2: (Color online) Box-and-swarm plots of between-condition differences in listener sensitivity for Experiment 1. Boxes show first & third quartiles and median values; individual data points correspond to each listener; asterisks indicate comparisons with corresponding coefficients in the statistical model that were significantly different from zero. (a) Main effects of attention (higher sensitivity in maintain than switch trials), reverberation (higher sensitivity in anechoic than reverberant trials), and talker gender (mis)match (higher sensitivity in trials with different-gendered target and masker talkers). (b) Two-way interactions; the difference between anechoic and reverberant trials was significantly larger in the gender-match (MM) than in the gender-mismatch (MF) condition. (c) Three-way interaction (no statistically significant differences). ** = $p < 0.01$; *** = $p < 0.001$.

264 **2. Reaction time**

265 Over all correct responses, median reaction time for each subject ranged from 434 ms to
266 692 ms after the onset of the target letter. Box-and-swarm plots showing quartile and
267 individual differences in reaction time values between experimental conditions are shown in
268 Figure 3. The statistical model indicated a significant main effects of attentional condition,
269 reverberation, and talker gender mismatch. Faster response times were seen for targets in
270 maintain-attention trials (9 ms faster on average, $F(1, 5868.1)=4.45$, $p=0.035$), anechoic
271 trials (13 ms faster, $F(1, 5868.1)=9.35$, $p=0.002$), and trials with mismatched talker gender
272 (25 ms faster, $F(1, 5868.2)=35.74$, $p<0.001$). The model showed no significant interactions in
273 reaction time among these trial parameters.

274 Post-hoc analysis of reaction time by response slot showed showed no significant differences for
275 the reverberation contrast. For the talker gender (mis)match contrast and the maintain- versus
276 switch-attention contrasts, there were significant differences only in slot 3 (see supplementary
277 material, Section III.D.2 for details). This is consistent with a view that the act of attention
278 switching creates a lag or slow-down in auditory perception.³

279 **3. Pupillometry**

280 Mean deconvolved pupil diameter as a function of time for the three stimulus manipulations
281 (reverberant/anechoic trials, talker gender match/mismatch trials, and maintain/switch
282 attention trials) are shown in Figure 4. Only the attentional manipulation shows a significant
283 difference between conditions, with “switch attention” trials showing greater pupillary response
284 than “maintain attention” trials in the time range from 1.0 to 5.5 seconds ($t_{crit} = 2.13$, $p<0.001$;
285 see supplementary material, Section III.C, and supplementary Table IV, for full statistical
286 details). The time courses diverge as soon as listeners have heard the cue, and the response
287 remains significantly higher in the switch-attention condition throughout the remainder of

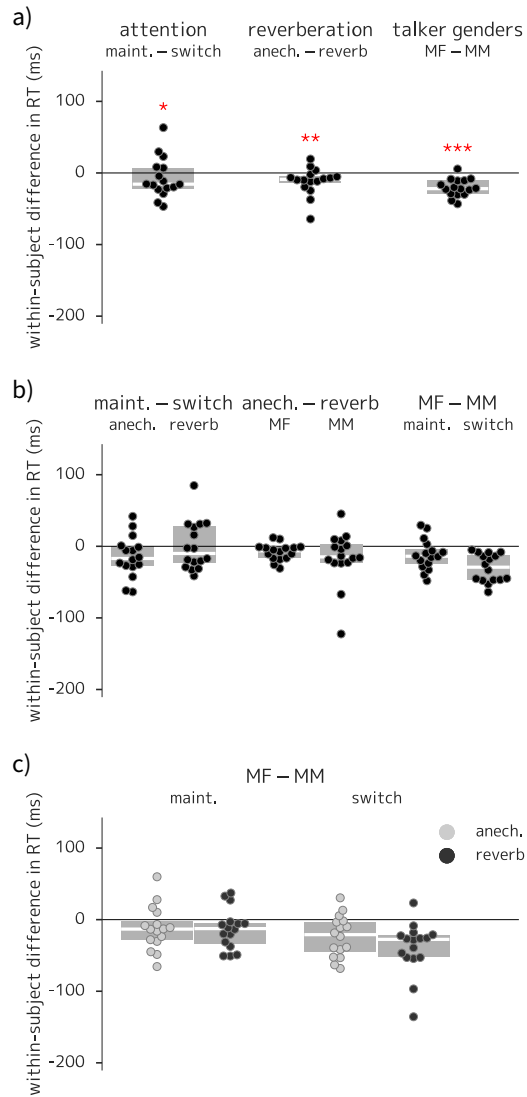


Figure 3: (Color online) Box-and-swarm plots of between-condition differences in reaction time for Experiment 1. Boxes show first & third quartiles and median values; individual data points correspond to each listener; asterisks indicate comparisons with corresponding coefficients in the statistical model that were significantly different from zero. (a) Main effects of attention (faster reaction time in maintain than switch trials), reverberation (faster reaction time in anechoic than reverberant trials), and talker gender (mis)match (faster reaction time in trials with trials with different-gendered target and masker talkers). (b) Two-way interactions (no statistically significant differences). (c) Three-way interaction (no statistically significant difference). * = $p < 0.05$; ** = $p < 0.01$; *** = $p < 0.001$; MM = matching talker genders; MF = mismatched talker genders.

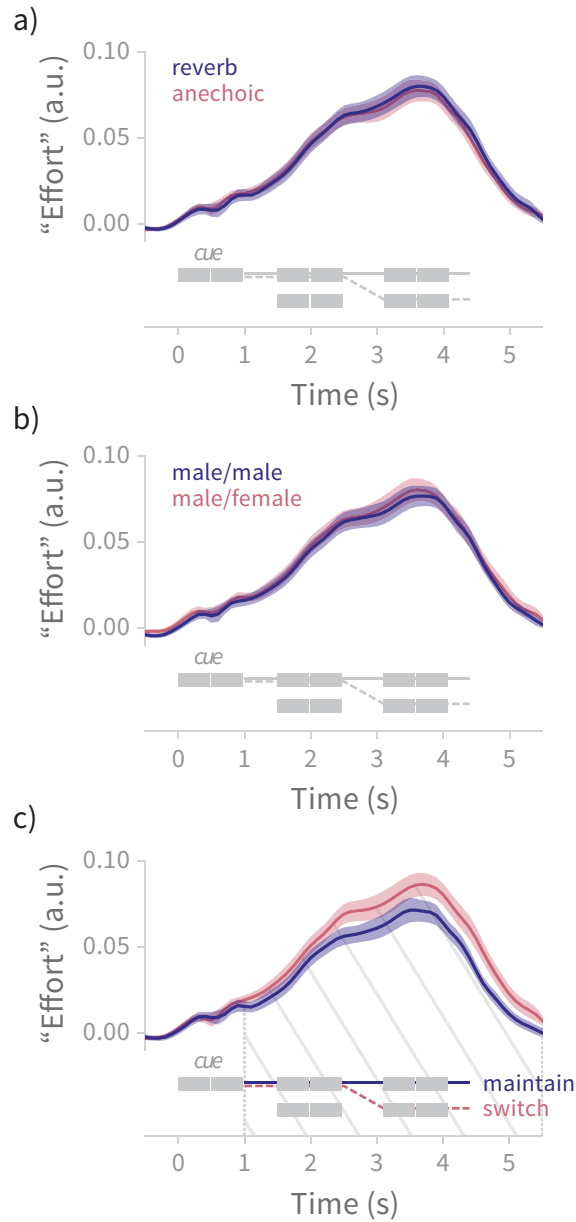


Figure 4: (Color online) Deconvolved pupil size (mean ± 1 standard error across subjects) for (a) reverberant versus anechoic trials, (b) talker gender-match versus -mismatch trials, and (c) maintain- versus switch-attention trials, with trial schematics showing the timecourse of stimulus events (compare to Figure 1). Hatched region shows temporal span of statistically significant differences between time series. The onset of statistically significant divergence (vertical dotted line) of the maintain/switch conditions is in close agreement with the end of the cue. a.u. = arbitrary units (see Section II.A.5 for explanation of “effort”).

288 the trial.

289 **C. Discussion**

290 The models of listener sensitivity and reaction time showed main effects in the expected
291 directions for all three manipulations: put simply, listener sensitivity was better and responses
292 were faster when the talkers had different voices, when there was no reverberation, and when
293 mid-trial switching of attention was not required. The difference between anechoic and
294 reverberant trials was *smaller* in trials where the talkers had different voices, suggesting that
295 the advantage of anechoic conditions and the advantage due to talker voice differences are not
296 strictly additive. A possible explanation for this finding is that *either* talker voice difference
297 *or* anechoic conditions are sufficient to support auditory source separation and streaming,^{25,26}
298 but the presence of both conditions cannot overcome difficulty arising from other aspects of
299 the task. Conversely, one might say that *both* segregating two talkers with the same voice
300 *and* segregating two talkers in highly reverberant conditions are hard tasks, which when
301 combined make for a task even more difficult than would be expected if the manipulations
302 were additive (i.e., reverberation hurt performance more when both talkers were male).

303 Unlike listener sensitivity and reaction time, the pupillary response differed only in response
304 to the attentional manipulation. Interestingly, the difference in pupillary response was seen
305 across the entire trial, whereas the reaction time difference for the maintain-versus-switch
306 contrast was restricted to slot 3 (the immediately post-switch time slot). The fact that
307 patterns of pupillary response do not recapitulate patterns of listener behavior would make
308 sense if, for normal hearing listeners, reverberation and talker gender mismatch are not severe
309 enough degradations to cause sufficient extra mental effort or cognitive load to be observable
310 in the pupil (in other words, the pupillary response may reflect the same processes as the
311 behavioral signal, but may not be as sensitive). However, the magnitude of the effect size
312 in d' is roughly equal for all three trial parameters (see Figure 2a); if behavioral effect size
313 reflects degree of effort or load, then the explanation that pupillometry is just “not sensitive
314 enough” seems unlikely. Another possibility is that the elevated pupil response is simply due

315 to a higher number of button presses in the switch trials: motor planning and execution
316 are known to cause pupillary dilations.³⁵ However, as mentioned in Section II.B.1, the total
317 number of button presses is in fact higher in the maintain-attention condition. A third
318 possibility is that the pupil dilation only reflects certain kinds of effort or load, and that
319 stimulus degradations that mainly affect listener ability to form and select auditory streams
320 are not reflected in the pupillary response, whereas differences in listener attentional state,
321 such as preparing for a mid-trial attention switch, are reflected by the pupil. Experiment 2
322 tests this latter explanation, by repeating the maintain/switch manipulation while increasing
323 stimulus degradation, to further impair formation and selection of auditory streams.

324 **III. EXPERIMENT 2**

325 Since no effect of talker gender on pupil dilation was seen in Experiment 1, in Experiment 2
326 the target and masker talkers were always of opposite gender, and their status as initial
327 target or masker was counterbalanced across trials. Since no effect of reverberation on
328 pupillary response was seen in Experiment 1, Experiment 2 also removed the simulated
329 spatial separation of talkers and involved a more severe cued stimulus degradation known to
330 cause variation in task demand: spectral degradation implemented as variation in number
331 of noise-vocoder channels, 10 or 20. Based on results from Winn and colleagues showing
332 increased dilation for low versus high numbers of vocoder channels with full-sentence stimuli,¹⁶
333 greater pupil dilation was expected here in the (more difficult, lower-intelligibility) 10-channel
334 condition. As in Experiment 1, a pre-trial cue indicated whether to maintain or switch
335 attention between talkers at the mid-trial gap; here the cue also indicated whether spectral
336 degradation was mild or severe (i.e., the cue underwent the same noise vocoding procedure
337 as the main portion of the trial).

338 Additionally, in Experiment 2 the duration of the mid-trial temporal gap provided for attention

339 switching was varied (either 200 ms or 600 ms). Behavioral and neuroimaging research suggest
340 that the time course of attention switching in the auditory domain is around 300-400 ms;^{3,36}
341 accordingly, we expected the short gap trials to be challenging and thus predicted greater
342 pupil dilation in short-gap trials (though only in the post-gap portion of the trial). The
343 duration of the gap was not predictable from the pre-trial cue.

344 **A. Methods**

345 **1. *Participants***

346 Sixteen adults (eight female, aged 19 to 35 years, mean 25.5) participated in Experiment 2.
347 All participants had normal audiometric thresholds (20 dB HL or better at octave frequencies
348 from 250 Hz to 8 kHz), were compensated at an hourly rate, and gave informed consent to
349 participate as overseen by the University of Washington Institutional Review Board.

350 **2. *Stimuli***

351 Stimuli were based on spoken English alphabet letters from the ISOLET v1.3 corpus²⁷ from
352 the same female and male talkers used in Experiment 1, with the same stimulus preprocessing
353 steps (padding, amplitude normalization, and edge windowing). Two streams of four letters
354 each were generated for each trial, with a gap of either 200 or 600 ms between the second
355 and third letters of each stream. The letters “A” and “U” were used only in the pre-trial
356 cues (described below); the target letter was “O” and letters “DEGPV” were non-target
357 items. The cue and non-target letters differed from those used in Experiment 1 in order to
358 maintain discriminability of cue, target, and non-target letters even under the most degraded
359 (10-channel vocoder) condition. Specifically, the letters were chosen so that the vowel nuclei
360 differed between the cue, target, and non-target letters: representations of the vowel nuclei in

361 the International Phonetic Alphabet are /e/ and /u/ (cues “A” and “U”), /o/ (target “O”)
362 and /i/ (non-target letters “DEGPV”).

363 Spectral degradation was implemented following a conventional noise vocoding strategy.³⁷
364 The stimuli were fourth-order Butterworth bandpass filtered into 10 or 20 spectral bands of
365 equal equivalent rectangular bandwidths.³⁸ This filterbank ranged from 200 to 8000 Hz (low
366 cutoff of lowest filter to high cutoff of highest filter). Each band was then half-wave rectified
367 and filtered with a 160 Hz low-pass fourth-order Butterworth filter to extract the amplitude
368 envelope. The resulting envelopes were used to modulate corresponding noise bands (created
369 from white noise filtered with the same filterbank used to extract the speech bands). These
370 modulated noise bands were then summed, and presented diotically at 65 dB SPL. As in
371 Experiment 1, a simultaneous white-noise masker was also presented (see Section II.A.3).

372 **3. Procedure**

373 Participants were instructed to fixate on a white dot centered on a black screen and maintain
374 such gaze throughout test blocks. Each trial began with a 1 s auditory cue (spoken letters
375 “AA” or “AU”); the cue talker’s gender indicated whether to attend first to the male or female
376 voice, and additionally indicated whether to maintain attention to that talker throughout
377 the trial (“AA” cue) or to switch attention to the other talker at the mid-trial gap (“AU”
378 cue). The cue was followed by 0.5 s of silence, followed by the main portion of the trial: two
379 concurrent, diotic 4-letter streams (one male voice, one female voice), with a variable-duration
380 gap between the second and third letters. The task was to respond by button press to the
381 letter “O” spoken by the target talker (Figure 5). To allow unambiguous attribution of button
382 presses, the letter “O” was always separated from another “O” (in either stream) by at least
383 1 second, and its position in the letter sequence was balanced across trials and conditions.
384 Distribution of targets and foils across timing slots was equivalent to Experiment 1.

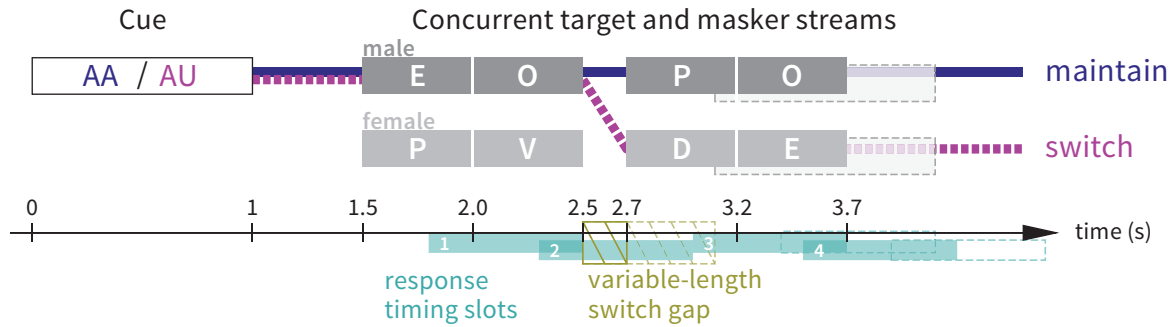


Figure 5: (Color online) Illustration of “maintain” and “switch” trial types in Experiment 2. The short-gap version is depicted; timing of long-gap trial elements (where different) are shown with faint dashed lines. In the depicted “switch” trial (heavy dashed line), listeners would hear cue “AU” in a male voice, attend to the male voice (“EO”) for the first half of the trial and the female voice (“DE”) for the second half of the trial, and respond once (to the “O” occurring at 2–2.5 seconds). In the depicted “maintain” trial (heavy solid line), listeners would hear cue “AA” in a male voice, attend to the male voice (“EOPO”) throughout the trial, and respond twice (once for each “O”).

385 Before starting the experimental task, participants heard 2 blocks of 10 trials for familiarization
 386 with noise-vocoded speech (one with a single talker, one with the two simultaneous talkers).
 387 Next, they did 3 training blocks of 10 trials each (one block of “maintain” trials, one block of
 388 “switch” trials, and one block of randomly mixed “maintain” and “switch” trials). Training
 389 blocks were repeated until participants achieved $\geq 50\%$ of trials correct on the homogenous
 390 blocks and $\geq 40\%$ of trials correct on the mixed block. During testing, the three experimental
 391 conditions (maintain/switch, 10/20 channel vocoder, and 200/600 ms gap duration) were
 392 counterbalanced, intermixed within each block, and presented in 10 blocks of 32 trials each,
 393 for a total of 320 trials.

394 4. Behavioral analysis

395 As in Experiment 1, listener responses were labeled as “hits” if the button press occurred
 396 within a defined temporal response window after the onset of “O” stimuli in the target stream,
 397 and all other responses were considered “false alarms.” However, unlike Experiment 1, the
 398 designated response window for targets and foil items ran from 300 to 1000 ms after the

399 onset of “O” stimuli (in Experiment 1 the window ranged from 100 to 1000 ms). This change
400 resulted from a design oversight, in which the placement of target or foil items in both of
401 slots 2 and 3 (on either side of the switch gap) yielded a period of overlap of the response
402 windows for slots 2 and 3 in the short gap trials, in which presses could not be unambiguously
403 attributed. However, in Experiment 1 (where response times as fast as 100 ms were allowed)
404 the fastest response time across all subjects was 296 ms, and was the sole instance of a
405 sub-300 ms response. Therefore, raising the lower bound on the response time window to
406 300 ms for Experiment 2 is unlikely to have disqualified any legitimate responses (especially
407 given the more severe signal degradation, which is likely to increase response times relative to
408 Experiment 1), and eliminates the overlap between response slots 2 and 3 on short-gap trials.
409 Statistical modeling of sensitivity used the same approach as was employed in Experiment 1:
410 predicting probability of button press in each timing slot based on fixed-effect predictors
411 (maintain/switch, 10- or 20-channel vocoder, and short/long mid-trial gap duration), a
412 target/foil/neither indicator variable, and a subject-level random intercept. Statistical
413 modeling of response time also mirrored Experiment 1, in omitting the indicator variable and
414 considering only responses to targets and foils. See supplementary material, Sections IV.A
415 and IV.B and Tables VI-VIII, for full details.

416 **5. *Analysis of pupil diameter***

417 Analysis of pupil diameter was carried out as in Experiment 1: trials epoched from -0.5 to
418 6 s, linear interpolation of eye blinks, per-trial baseline subtraction and per-subject division
419 by standard deviation of pupil size. Deconvolution and statistical analysis of normalized
420 pupil size data was also carried out identically to Experiment 1.

421 **B. Results**

422 **1. Sensitivity**

423 Over all trials, sensitivity (d') ranged across subjects from 1.4 to 4.2 (first quartile 1.8, median
424 2.2, third quartile 2.7). Box-and-swarm plots displaying quartile and individual differences in
425 d' values between experimental conditions are shown in Figure 6. Again, note that d' is an
426 aggregate measure of sensitivity that does not distinguish between responses to foil items
427 versus other types of false alarms, but the statistical model does estimate separate coefficients
428 for target response rate, foil response rate, and a bias term capturing non-foil false alarm
429 responses. The model indicated significant main effects for all three trial type manipulations,
430 as seen in Figure 6a. Specifically, model results indicate no significant difference in target
431 detection between maintain- and switch-attention trials (Wald $z=1.07$, $p=0.284$), but did
432 show fewer responses to foils in maintain-attention trials (Wald $z=-2.54$, $p=0.011$; estimated
433 effect size 0.15 d'); a corresponding increase in d' in the maintain attention condition is seen
434 for nearly all listeners in Figure 6a, left column. Regarding spectral degradation, listeners
435 were better at detecting targets in 20-channel trials (Wald $z=4.09$, $p<0.001$; estimated effect
436 size 0.19 d'), but there was no significant difference in response to foils for the spectral
437 degradation manipulation (Wald $z=0.69$, $p=0.489$). For the switch gap length manipulation,
438 the model indicated much lower response to target items (Wald $z=-7.51$, $p<0.001$; estimated
439 effect size 0.35 d') and much greater response to foil items (Wald $z=9.24$, $p<0.001$; estimated
440 effect size 0.56 d') in the long gap trials.

441 The model also showed two-way interactions between gap duration and spectral degradation
442 (lower sensitivity in 10-channel long-gap trials; Figure 6b, middle column), and between gap
443 duration and the attentional manipulation (lower sensitivity in maintain-attention long-gap
444 trials; Figure 6b, right column). The interaction between gap duration and the attentional
445 manipulation showed increased responses to foil items in maintain-attention long-gap trials

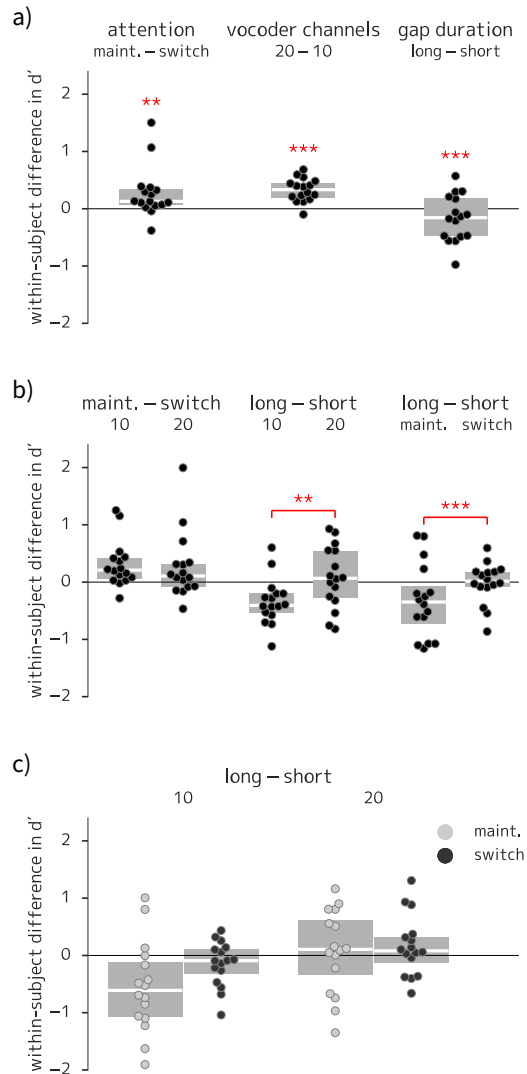


Figure 6: (Color online) Box-and-swarm plots of between-condition differences in listener sensitivity for Experiment 2. Boxes show first & third quartiles and median values; individual data points correspond to each listener; asterisks indicate comparisons with corresponding coefficients in the statistical model that were significantly different from zero. (a) Main effects of attention (higher sensitivity in maintain than switch trials), spectral degradation (higher sensitivity in 20-channel than 10-channel vocoded trials), and switch gap duration (higher sensitivity in trials with a short gap). (b) Two-way interactions: the difference between long- and short-gap trials was greater (more negative) in the 10-channel-vocoded trials and in the maintain-attention trials. (c) Three-way interaction (not significant). $*$ = $p < 0.05$; $**$ = $p < 0.01$; $***$ = $p < 0.001$.

446 (Wald $z=2.98$, $p=0.003$). The terms modeling interaction between gap duration and spectral
447 degradation were not significantly different from zero at the $p<0.05$ level when targets and
448 foils are modeled separately (Wald $z=1.66$, $p=0.097$ for targets; Wald $z=-1.92$, $p=0.055$ for
449 foils), but the exclusion of these terms from the model did significantly decrease model fit
450 according to a likelihood ratio test ($\chi^2(2)=11.38$, $p=0.003$).

451 Post-hoc analysis of target detection accuracy showed no significant differences by slot when
452 correcting for multiple comparisons, but the trend suggested that the two-way interaction
453 between gap duration and spectral degradation was driven by the *first* time slot, while the
454 two-way interaction between gap duration and attentional condition was predominantly
455 driven by the *last* time slot (paired t -tests by slot on logit-transformed hit rates all $p>0.04$;
456 Bonferroni-corrected significance level 0.00625).

457 **2. Reaction time**

458 Over all correct responses, median reaction time for each subject ranged from 493 ms to
459 689 ms after the onset of the target letter. Box-and-swarm plots showing quartile and
460 individual differences in reaction time values between experimental conditions are shown in
461 Figure 7. The statistical model indicated a significant main effects of spectral degradation
462 and switch gap length. Faster response times were seen for targets in trials processed with
463 20-channel vocoding (35 ms faster on average, $F(1, 4605.0)=21.79$, $p<0.001$), and trials with
464 a long switch gap (66 ms faster, $F(1, 4606.9)=77.52$, $p<0.001$). The model also showed a
465 significant interaction between spectral degradation and switch gap length (44 ms faster with
466 20-channel vocoding and long gaps, $F(1, 4604.4)=8.57$, $p=0.003$).

467 As in Experiment 1, post-hoc tests of reaction time difference between maintain- and switch-
468 attention trials by slot showed a significant difference localized to slot 3 (the immediately
469 post-gap slot), with faster reaction times in maintain-attention trials (28 ms faster on average).

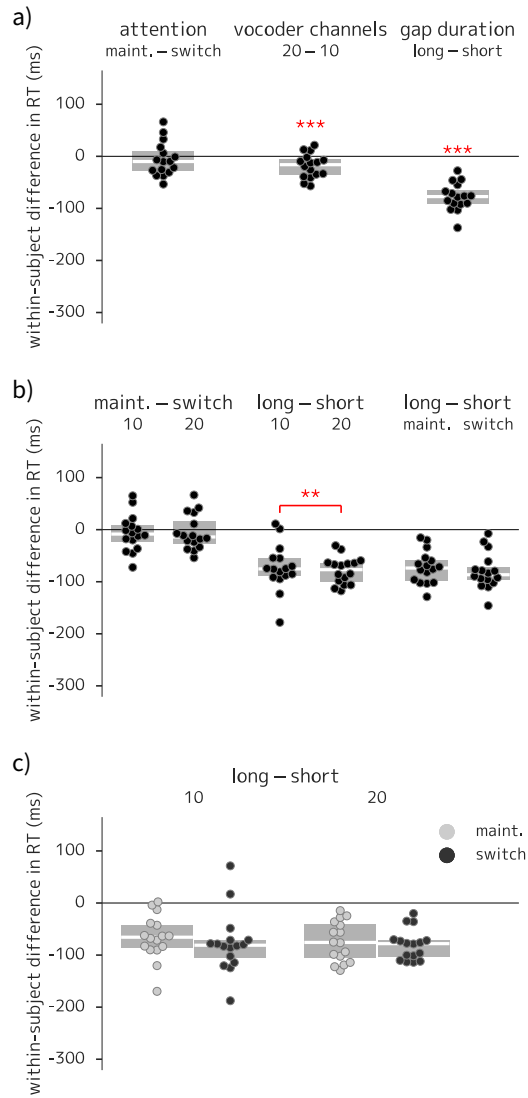


Figure 7: (Color online) Box-and-swarm plots of between-condition differences in reaction time for Experiment 2. Boxes show first & third quartiles and median values; individual data points correspond to each listener; asterisks indicate comparisons with corresponding coefficients in the statistical model that were significantly different from zero. (a) Main effects of attention, spectral degradation, and gap duration (faster response time in trials with 20-channel vocoding, and in long-gap trials). (b) Two-way interactions (larger difference in reaction times between long- and short-gap trials in the 10- versus the 20-channel condition). (c) Three-way interaction (no statistically significant difference). *** = $p < 0.001$.

470 For the spectral degradation contrast, a significant difference was seen only in slot 1, with
471 faster reaction times in the 20-channel trials (68 ms faster on average); this pattern of results
472 could arise if listener adaptation to the level of degradation was incomplete when the trial
473 started, but was in place by the end of slot 1. For the gap length manipulation, significantly
474 faster reaction times were seen in the long-gap trials for slot 3 (155 ms faster on average)
475 and slot 4 (135 ms faster on average), and significantly *slower* reaction times in the long-gap
476 trials for slot 1 (261 ms slower on average). The faster reaction times in the long-gap trials
477 in slots 3 and 4 are expected given that listeners had additional time to process the first
478 half of the trial and/or prepare for the second half in the long-gap condition. However, the
479 difference in reaction time in slot 1 is unexpected and inexplicable given that the gap length
480 manipulation was uncued. See supplementary material, Section IV.D.1 for details.

481 3. *Pupillometry*

482 Mean deconvolved pupil diameter as a function of time for the three stimulus manipulations
483 (10/20 vocoder channels, gap duration, and maintain/switch attention trials) is shown in
484 Figure 8. Similar to Experiment 1, the attentional manipulation shows a significant difference
485 between conditions, with switch-attention trials showing greater pupillary response than
486 maintain-attention trials in the time range from 0.9 to 5.6 s ($t_{crit} = 2.13$, $p < 0.001$); in
487 Experiment 1, the significant difference spanned 1.0 - 5.5 s. Also as in Experiment 1, the
488 time courses diverge as soon as listeners have heard the cue, and the response remains higher
489 in the switch-attention condition throughout the rest of the trial. There is also a significant
490 difference in the time course of the pupillary response between long- and short-gap trials in
491 the time range 3.9 - 5.0 s ($t_{crit} = 2.13$, $p < 0.01$), with the signals diverging around the onset
492 of the mid-trial gap (though only differing statistically in the final ~1 s of the trial). See
493 supplementary material, Section IV.C and Table IX, for full details.

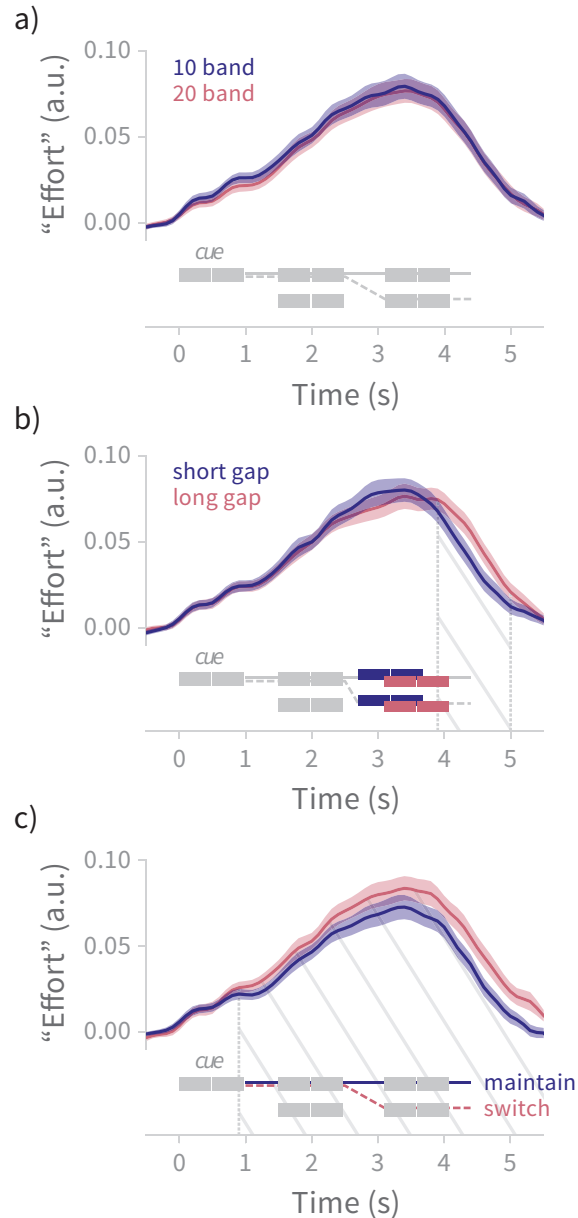


Figure 8: (Color online) Deconvolved pupil size (mean ± 1 standard error across subjects) for (a) 10- versus 20-band vocoded stimuli, (b) 200 versus 600 ms mid-trial switch gap durations, and (c) maintain- versus switch-attention trials, with trial schematics showing the timecourse of stimulus events (compare to Figure 5). Hatched region shows temporal span of statistically significant differences between time series. The late-trial divergence in (b) is attributable to the delay of stimulus presentation in the long-gap condition; the onset of divergence in (c) aligns with the end of the cue, as in Experiment 1 (see Figure 4c). a.u. = arbitrary units (see Section II.A.5 for explanation of “effort”).

494 **C. Discussion**

495 The model of listener sensitivity for Experiment 2 showed main effects of the spectral degra-
496 dation and attentional manipulations in the expected directions (based on past literature^{16,22}
497 and the results of Experiment 1): listener sensitivity was better when there were more
498 vocoder channels (better spectral resolution) and when mid-trial switching of attention
499 was not required. However, the results of the gap duration manipulation were unexpected;
500 based on past findings that auditory attention switches take between 300 and 400 ms,^{3,36} we
501 hypothesized that a gap duration of 200 ms would cause listeners to fail to detect targets
502 in the immediate post-gap position (i.e., timing slot 3). We did see slower reaction time
503 in the short-gap trials, but sensitivity was actually *better* in the short-gap trials than in
504 the long-gap ones for most listeners (Figure 6a, right column). However, according to the
505 statistical model this effect appears to be restricted to the 10-channel and maintain-attention
506 trials (see Figure 6b, middle and right columns, and 6c, left column). Interestingly, the model
507 coefficient estimates indicated that the interactions were more strongly driven by a difference
508 in responses to foil items, not targets.

509 A possible explanation for the elevated response to foils in the long-gap condition is that the
510 long-gap condition interfered with auditory streaming, the 10-channel condition also interfered
511 with streaming, and when both conditions occurred simultaneously there was a strong effect
512 on listener ability to group the pre- and post-gap letters into a single stream (i.e., to preserve
513 stream identity across the gap). Using minimally processed stimuli (monotonized, but without
514 intentional degradation), Larson and Lee showed a similar “drop off” in performance in their
515 maintain-attention trials when the gap duration reached 800 ms;³ perhaps the spectral
516 degradation in our stimuli decreased listeners’ tolerance for gaps in the stream, causing
517 performance to drop off at shorter (600 ms) gap lengths. However, this explanation still does
518 not account for the finding that the 10-channel plus long-gap difficulty seems to occur only
519 in the maintain-attention trials. One might speculate that the act of switching attention

520 at the mid-trial gap effectively “fills in” the gap, making the temporal disconnect between
521 pre- and post-gap letters less noticeable, and thereby preserving attended stream identity
522 across a longer gap duration than would be possible if attention were maintained on a single
523 source. In other words, if listeners must conceive of the “stream of interest” as a source
524 that undergoes a change in voice quality partway through the trial, the additional mental
525 effort required to make the switch might result in *more accurate* post-gap stream selection,
526 whereas the putatively less effortful task of maintaining attention to a consistent source could
527 lead to *less accurate* post-gap stream selection when stream formation is already difficult
528 (due to strong spectral degradation) and stream interruptions are long. Further study of the
529 temporal dynamics of auditory attention switching is needed to clarify how listeners’ intended
530 behavior affects stream stability across temporal caesuras of varying lengths, and how this
531 process interacts with signal degradation or quality.

532 If this speculation is correct — that signal degradation reduces listener tolerance of gaps
533 in auditory stream formation and preservation — then this finding may have important
534 implications for listeners experiencing both hearing loss and cognitive decline. Specifically,
535 poor signal quality due to degradation of the auditory periphery could lead to greater difficulty
536 in stream preservation across long gaps, but cognitive decline may make rapid switching
537 difficult. In other words, the cognitive abilities of older listeners might require longer pauses
538 to switch attention among multiple interlocutors, but the longer pauses may in fact make it
539 harder to preserve focus in the face of degraded auditory input.

540 It is also interesting that the post-hoc analyses suggested possibly different temporal loci for
541 the effects of different stimulus manipulations (i.e., affecting pre- versus post-gap time slots).
542 This might indicate that differences in the strength of sensory memory traces of the stimuli
543 played a role. However, it is important to note that we attempted to include time slot as
544 an additional (interacting) term in the statistical model, but those more complex models
545 were non-convergent; therefore we hesitate to draw any strong conclusions from the post-hoc

546 t -tests.

547 Regarding the pupillary response, we again saw a difference between maintain- and switch-
548 attention trials, with the divergence beginning as soon as listeners heard the attentional cue.
549 We also saw a significant difference in the pupillary response to long- versus short-gap trials,
550 though the difference appears to be a post-gap delay in the long-gap trials (mirroring the
551 stimulus time course), rather than a vertical shift indicating increased effort. Contrary to our
552 hypothesis, there was no apparent effect of spectral degradation on the pupillary response.

553 IV. GENERAL DISCUSSION

554 The main goal of these experiments was to see whether the pupillary response would reflect
555 the mental effort of switching attention between talkers who were spatially separated (Exper-
556 iment 1), or talkers separable only by talker voice quality and pitch (Experiment 2). The
557 overall finding was that attention switching is clearly reflected in the pupillary signal as an
558 increase in dilation that begins either as soon as listeners are aware that a switch will be
559 required, or perhaps as soon as they begin planning the switch; since we did not manipulate
560 the latency between the cue and the onset of the switch gap these two possibilities cannot be
561 disambiguated.

562 A secondary goal of these experiments was to reproduce past findings regarding the pupillary
563 response to degraded *sentential* stimuli, but using a simpler stimulus paradigm (spoken letter
564 sequences) and (in Experiment 1) relatively mild stimulus degradations like reverberation. In
565 fact, we failed to see any effect of stimulus degradation in the pupillary response, neither
566 when degrading the temporal cues for spatial separation through simulated reverberation,
567 nor with more severe degradation of the signal's spectral resolution through noise vocoding
568 (Experiment 2). We believe the key difference lies in our choice of stimuli: detecting a target
569 letter in a sequence of spoken letters is not the same kind of task as computing the meaning

570 of a well-formed sentence, and our results suggest that simply detecting targets among a
571 small set of possible stimulus tokens does not engage the same neural circuits or invoke the
572 same kind of mental effort or cognitive load that is responsible for pupillary dilations seen
573 in the sentence comprehension tasks of Zekveld and colleagues (showing greater dilation
574 to sentences with lower signal-to-noise ratios [SNRs])^{14,19} or Winn and colleagues (showing
575 greater dilation to sentences with more severe spectral degradation).¹⁶ Taking those findings
576 together with the results of the present study, one might say that signal degradation itself
577 was not the proximal cause of pupil dilation in those sentence comprehension experiments;
578 rather, it was the additional cogitation or effort needed to construct a coherent linguistic
579 meaning from degraded speech that led to the pupillary responses they observed.

580 Notably, Winn and colleagues showed a sustained pupillary response in cases where listeners
581 failed to answer correctly, suggesting that continued deliberation about how to respond may
582 be reflected by pupil size. Similarly, Kuchinsky and colleagues²⁰ showed greater pupillary
583 response in word-identification tasks involving lower SNRs when lexical competitors were
584 present among response choices; their results show a sustained elevation in the time course
585 of the pupillary response in the harder conditions (as well as a parallel increase in reaction
586 time). Both sets of findings suggest that the pupillary response reflects effort exerted by
587 the listener, as do the sustained large dilations seen in Koelewijn and colleagues' divided
588 attention trials (where listeners heard two talkers presented dichotically, and had to report
589 both sentences).²³

590 The present study, on the other hand, shows that for an experimental manipulation to elicit
591 a larger pupillary response than other tasks, it is not enough that the task simply be made
592 harder. Rather, there is an important distinction between *a task being harder* and *a listener*
593 *trying harder*; or what, in the terms of a recent consensus paper from a workshop on hearing
594 impairment and cognitive energy, might be described as the difference between “demands”
595 and “motivation.”¹⁸ In this light, we can understand why our stimulus manipulations yielded

596 no change in pupillary response: our task required rapid-response target identification, in
597 which listeners had little opportunity to ponder a distorted or partial percept, nor could they
598 later reconstruct whether a target had been present based on surrounding context. Thus, the
599 listener has no recourse by which to overcome the increased task demands, and consequently
600 there should be no difference in motivation, no difference in effort, and no difference in the
601 pupillary response. In contrast, our behavioral “maintain/switch” manipulation did provide
602 an opportunity for the listener to exert effort (in the form of a well-timed mid-trial attention
603 switch) to achieve task success, and the difference in pupillary responses between maintain-
604 and switch-attention trials reflects this difference.

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722 **LIST OF FIGURES**

723 **1** (Color online) Illustration of “maintain” and “switch” trial types in Experiment 1.
724 In the depicted “switch” trial (heavy dashed line), listeners would hear cue “AB” in
725 a male voice, attend to the male voice (“QU”) for the first half of the trial, switch to
726 the female voice (“OM”) for the second half of the trial, and respond once (to the “O”
727 occurring at 3.1–3.6 s). In the depicted “maintain” trial (heavy solid line), listeners
728 would hear cue “AA” in a male voice, maintain attention to the male voice (“QUJR”)
729 throughout the trial, and not respond at all. In the depicted trials, a button press
730 anytime during timing slot 2 would be counted as response to the “O” at 2–2.5 s,
731 which is a “foil” in both trial types illustrated; a button press during slot 3 would
732 be counted as response to the “O” at 3.1–3.6 s (which is considered a target in the
733 switch-attention trial and a foil in the maintain-attention trial), and button presses
734 at any other time would be counted as non-foil false alarms. Note that “O” tokens
735 never occurred in immediately adjacent timing slots (unless separated by the switch
736 gap) so response attribution to targets or foils was unambiguous.

737 **2** (Color online) Box-and-swarm plots of between-condition differences in listener
 738 sensitivity for Experiment 1. Boxes show first & third quartiles and median values;
 739 individual data points correspond to each listener; asterisks indicate comparisons
 740 with corresponding coefficients in the statistical model that were significantly different
 741 from zero. (a) Main effects of attention (higher sensitivity in maintain than switch
 742 trials), reverberation (higher sensitivity in anechoic than reverberant trials), and
 743 talker gender (mis)match (higher sensitivity in trials with different-gendered target
 744 and masker talkers). (b) Two-way interactions; the difference between anechoic
 745 and reverberant trials was significantly larger in the gender-match (MM) than in
 746 the gender-mismatch (MF) condition. (c) Three-way interaction (no statistically
 747 significant differences). ** = $p < 0.01$; *** = $p < 0.001$.

748 **3** (Color online) Box-and-swarm plots of between-condition differences in reaction
 749 time for Experiment 1. Boxes show first & third quartiles and median values;
 750 individual data points correspond to each listener; asterisks indicate comparisons with
 751 corresponding coefficients in the statistical model that were significantly different from
 752 zero. (a) Main effects of attention (faster reaction time in maintain than switch trials),
 753 reverberation (faster reaction time in anechoic than reverberant trials), and talker
 754 gender (mis)match (faster reaction time in trials with trials with different-gendered
 755 target and masker talkers). (b) Two-way interactions (no statistically significant
 756 differences). (c) Three-way interaction (no statistically significant difference). *
 757 = $p < 0.05$; ** = $p < 0.01$; *** = $p < 0.001$; MM = matching talker genders; MF =
 758 mismatched talker genders.

759 **4** (Color online) Deconvolved pupil size (mean ± 1 standard error across subjects) for
 760 (a) reverberant versus anechoic trials, (b) talker gender-match versus -mismatch
 761 trials, and (c) maintain- versus switch-attention trials, with trial schematics showing
 762 the timecourse of stimulus events (compare to Figure 1). Hatched region shows
 763 temporal span of statistically significant differences between time series. The onset
 764 of statistically significant divergence (vertical dotted line) of the maintain/switch
 765 conditions is in close agreement with the end of the cue. a.u. = arbitrary units (see
 766 Section II.A.5 for explanation of “effort”).

767 **5** (Color online) Illustration of “maintain” and “switch” trial types in Experiment 2.
 768 The short-gap version is depicted; timing of long-gap trial elements (where different)
 769 are shown with faint dashed lines. In the depicted “switch” trial (heavy dashed line),
 770 listeners would hear cue “AU” in a male voice, attend to the male voice (“EO”) for
 771 the first half of the trial and the female voice (“DE”) for the second half of the trial,
 772 and respond once (to the “O” occurring at 2–2.5 seconds). In the depicted “maintain”
 773 trial (heavy solid line), listeners would hear cue “AA” in a male voice, attend to the
 774 male voice (“EOPO”) throughout the trial, and respond twice (once for each “O”).

775 **6** (Color online) Box-and-swarm plots of between-condition differences in listener
 776 sensitivity for Experiment 2. Boxes show first & third quartiles and median values;
 777 individual data points correspond to each listener; asterisks indicate comparisons
 778 with corresponding coefficients in the statistical model that were significantly different
 779 from zero. (a) Main effects of attention (higher sensitivity in maintain than switch
 780 trials), spectral degradation (higher sensitivity in 20-channel than 10-channel vocoded
 781 trials), and switch gap duration (higher sensitivity in trials with a short gap). (b)
 782 Two-way interactions: the difference between long- and short-gap trials was greater
 783 (more negative) in the 10-channel-vocoded trials and in the maintain-attention trials.
 784 (c) Three-way interaction (not significant). * = $p < 0.05$; ** = $p < 0.01$; *** = $p < 0.001$.

785 **7** (Color online) Box-and-swarm plots of between-condition differences in reaction
786 time for Experiment 2. Boxes show first & third quartiles and median values;
787 individual data points correspond to each listener; asterisks indicate comparisons
788 with corresponding coefficients in the statistical model that were significantly different
789 from zero. (a) Main effects of attention, spectral degradation, and gap duration
790 (faster response time in trials with 20-channel vocoding, and in long-gap trials). (b)
791 Two-way interactions (larger difference in reaction times between long- and short-gap
792 trials in the 10- versus the 20-channel condition). (c) Three-way interaction (no
793 statistically significant difference). *** = $p < 0.001$.

794 **8** (Color online) Deconvolved pupil size (mean ± 1 standard error across subjects) for
795 (a) 10- versus 20-band vocoded stimuli, (b) 200 versus 600 ms mid-trial switch gap
796 durations, and (c) maintain- versus switch-attention trials, with trial schematics
797 showing the timecourse of stimulus events (compare to Figure 5). Hatched region
798 shows temporal span of statistically significant differences between time series. The
799 late-trial divergence in (b) is attributable to the delay of stimulus presentation in
800 the long-gap condition; the onset of divergence in (c) aligns with the end of the cue,
801 as in Experiment 1 (see Figure 4c). a.u. = arbitrary units (see Section II.A.5 for
802 explanation of “effort”).